



SARS-CoV-2 Serology Testing in the Setting of Vaccination

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Siemens Healthineers Position

Serology testing for SARS-CoV-2 will be beneficial and potentially even necessary in assessment of vaccine effectiveness, which will play a key role in promoting public health. Siemens Healthineers supports measuring SARS-CoV-2 IgG antibodies in relation to vaccine use for (1) establishing a threshold for protection or immunity, (2) confirming an initial neutralizing antibody response shortly after vaccination (approximately 3–4 weeks after each dose), and (3) tracking of antibody levels (at approximately 3, 6, and 9 months and annually) following vaccination. An automated and scalable serology assay used for patient care in the context of vaccination should include key technical features for effective use: measurement of spike receptor-binding domain (S1-RBD)-neutralizing IgG antibodies, very high ($\geq 99.5\%$) specificity, and quantitative results.

Background

In clinical practice, quantitative antibody testing for assessing the need to vaccinate/boost is common, especially in cases such as hepatitis B vaccination, where the neutralizing surface antigen-antibody threshold associated with immunity is known.¹ In population-based studies, SARS-CoV-2 antibody testing has been shown to identify a significant percentage of the population with an immune response to the virus but undiagnosed for COVID-19.²⁻⁶ Commercially available clinical laboratory serology testing suitable for clinical practice is not expensive and can often be high-throughput, with fast turnaround and broad population access. While many serology assays that came to market initially were of low quality given the initial interest in facilitating an immediate, even if sub-optimal, testing capability during the outset of the pandemic, increased regulatory expectations have effectively removed low quality assays from authorized lists. Currently available assays with very high ($\geq 99.5\%$) specificity, particularly important under conditions of low disease prevalence, will be essential to vaccination campaigns, both to identify vulnerable populations as well as assess for a successful response in large populations.^{7,8}

As learned during this pandemic for other types of SARS-CoV-2 testing, such as PCR, availability at a large and accessible scale is key to ensuring that the needs of the population can be met. While proof of antibody-associated immunity in SARS-CoV-2 is emerging from the vaccine trials and other datasets, extensive data to date already support a role for neutralizing antibody in protecting from (or mitigating) infection.⁹⁻¹⁷

Studies from natural infections indicate significant diversity in the levels and duration of neutralizing antibody responses, with declining levels over time potentially leading to reinfection.¹⁷⁻²⁶ Consequently, testing is essential to distinguish successful from suboptimal vaccine responses and detect antibody declines after natural infection.²⁷⁻²⁹ The factors influencing likelihood of a robust neutralizing antibody response are poorly defined but have been linked to immunocompetency, age, and disease severity.^{27,30,31} Existing data indicates that detectable levels of circulating neutralizing antibody are necessary for protection, though the role of memory B-cells and/or T-cells is still under investigation.

Considerations

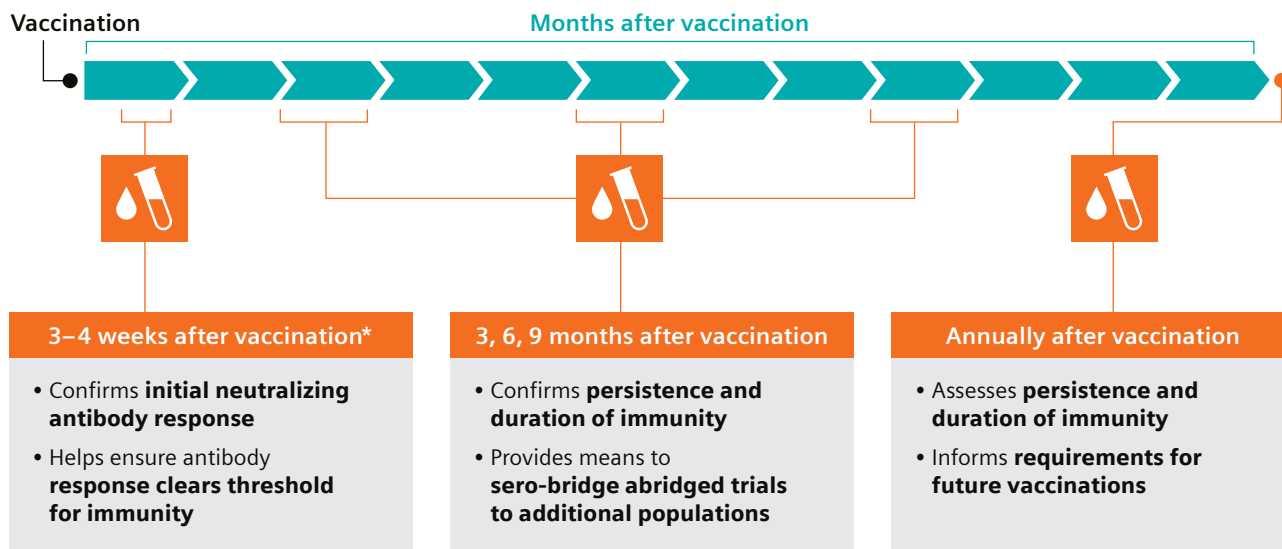
Antibody-mediated immunity

Immune responses to pathogens are diverse and involve both adaptive and innate elements.^{32,33} Adaptive immunity is pathogen-specific and principally mediated by B- and T-cells. Humoral immunity is driven by B-cells that produce antibody (often “helped” by T-cells that secrete specific cytokines). With many pathogens, antibodies are the principal effector of protection, particularly if they can block (neutralize) viral entry.³⁴ Because antibody-mediated viral neutralization is often, but not always, a correlate for immunity, confirmed protection *in vivo* associated with specific antibodies/levels must be established. A growing body of data supports the potential for neutralizing antibody to confer protection from SARS-CoV-2.^{9-17,35-37} This includes both *in vitro* demonstrations of antibody neutralization and *in vivo* evidence in a range of experimental animal models challenged with live virus. While conflicting data exists on the duration of neutralizing antibodies following SARS-CoV-2 infection, increasing datasets suggest persistence in excess of 3 months in the majority of infections, including those with mild or moderate disease.^{17,20,21,24,38-41} As vaccine-induced production of neutralizing antibodies proves effective, assessment of neutralizing antibody levels to identify/confirm a protective threshold will be vital in establishing broad population-based immunity.

Vaccine-related serology test applications

Vaccination-related testing for neutralizing antibody can be used at multiple timepoints. Ongoing clinical trials for recently authorized vaccines, and vaccines in

development, are utilizing serology testing for neutralizing antibody titer as a surrogate of efficacy.^{15,16,27,37,42-57} These trials are assessing neutralizing antibody immunogenicity in response to vaccine administration over time, which will be necessary to inform antibody-mediated protection. A modeling study assessing vaccine prioritization strategies demonstrated there may be value in pairing serology testing with vaccination in areas with higher SARS-CoV-2 seroprevalence.⁵⁸ As current vaccines require a two-dose regimen to broadly stimulate levels of neutralizing antibody, serology testing would measure for an effective response approximately 3–4 weeks after each dose.^{37,59} For logistical convenience, in many settings serology testing can be undertaken during the same visit as the second vaccine dose administration. Quantitative periodic antibody testing post-vaccination, after approximately 3, 6, and 9 months, would ensure a sustained antibody response at sufficient levels for virus neutralization (Figure 1). Initially, additional data on duration of antibody-mediated protection is needed across populations, and in the long-term testing may be focused on particular populations with known risk of insufficient immune response. The timing of appropriate serology testing would be optimized and refined as needed. A serology-defined threshold (from either natural infection or vaccination) remains a key need, and this periodic testing would offer additional data on antibody response patterns to determine optimal serology testing utilization. Longer-timeframe quantitative testing for waning levels of protective antibody, such as through annual testing, would inform the need to revaccinate/boost.



*For a 2-dose regimen, the proposed timing is after each dose.

Figure 1. Key timepoints for serology testing to assess initial antibody immune response and duration post-vaccination.

Antibody targets and neutralization

Current commercially available SARS-CoV-2 antibody assays have diverse targets, including nucleocapsid (N) protein, whole spike (both S1 and S2 regions), S1, and S1-RBD.³⁹⁻⁶³ Robust evidence in vitro and from animal model studies supports a mechanism of viral neutralization by antibodies to the spike glycoprotein, primarily through inhibition of recognition/attachment to the ACE2 host cell receptor. While several epitope-specific neutralizing spike antibodies have been identified (in both S1 and S2), most target the S1-RBD, as these antibodies can interfere with recognition and binding to ACE2.^{9-11,17,38} Since both whole-spike- and S1-targeted assays include the RBD region, they can indicate, but not specifically identify, the presence of RBD-associated neutralizing antibodies. S1-RBD-specific assays are likely to prove advantageous over S1 and whole spike, especially if using a quantitative assay, as neutralizing versus binding antibodies might be expected to be enriched and therefore a better correlate to immunity. While not all antibodies to the RBD are equally neutralizing, the RBD is identified as the immunodominant source. Depletion analysis indicates an estimated ~90% of known neutralizing antibodies target epitopes within the RBD.^{10,17,35} While current data on S1-RBD vaccines may preclude the need for changes to vaccine design, second-generation vaccines may use a broader set of antigenic targets.

Quantitative versus qualitative reporting

Current SARS-CoV-2 qualitative antibody assays have a defined cut-point based on presence/absence of immune response rather than a threshold value based on antibody level and neutralization of the virus. Therefore, they only provide a “yes” or “no” indication of a response to infection. Quantitation of neutralizing antibody supports identification of an immune threshold, above which individuals are likely to be protected and below which they are susceptible. A few IgG and total antibody quantitative assays for the spike protein (including the S1-RBD) are already commercially available.⁶⁴⁻⁶⁷ Antibodies to SARS-CoV-2 can decline quickly and at different rates for different epitopes,^{18,19,20,22,24} so quantitation would prove salient for rapid assessment of immunity or need to boost. Quantitative testing would be a valuable tool for establishing a protective threshold, as well as initial assessment of vaccination response and monitoring of antibody levels over time when a threshold is established.

Vaccines and efficacy in current clinical trials

In phase 3 vaccine trials, protection from disease, i.e., immunity, has been demonstrated relative to the placebo group despite a finite incidence of infection in the vaccinated subjects. A vaccine could achieve statistical significance for the primary endpoint for protection from disease despite significant incidence of disease in the

vaccinated group.⁶⁸ Even with high efficacy, a proportion of those inoculated would not have protection from disease. Assessment for seroconversion failure or declining levels in the vaccinated but susceptible population is a critical parameter with implications for patient care, population management, and public policy.^{5,69} Data from initial vaccine trials is limited to certain populations and exposure patterns. Additional data on antibody response and duration will be needed to help inform vaccine efficacy in larger, more-diverse populations to determine appropriate use in the context of variables such as vaccine design/manufacture, ethnicity, level of viral load exposure, and individual immune system strength. All vaccines in use or development published on to date include or are based solely on the spike protein, with spike- or RBD-specific antibodies serving as a surrogate of efficacy along with elements of the cellular response. In this scenario, natural infection can be monitored by testing for antibody to the N protein. However, testing for quantitative S1-RBD antibodies would be the preferred method to assess levels relative to susceptibility following vaccination due to their correlation to neutralization and protection. Additional data on vaccine use and antibody response in already-seropositive patients is needed to determine response patterns in a more-diverse antibody population.

Summary

To enable an effective vaccination strategy, Siemens Healthineers advocates for the use of automated SARS-CoV-2 serology testing to help confirm efficacy.

Serology assays should have the appropriate characteristics for assessment of vaccine response:

- Quantitative results
- S1-RBD-neutralizing IgG antibody detection
- Very high ($\geq 99.5\%$) specificity

Serology testing can inform vaccination utilization and status of protection at multiple junctures:

- Post-vaccination initial response after approximately 3 to 4 weeks (after each dose)
- Duration of vaccination response after approximately 3, 6, and 9 months and annually (need to boost)

Additionally, quantitative neutralizing-antibody testing could support determination of an antibody threshold for immunity/susceptibility to SARS-CoV-2 and provide critical data needed to understand vaccine-facilitated antibody response and duration in populations not included in initial vaccine trials. Serology is a cost-effective surrogate for vaccine efficacy and able to meet high-volume testing needs. Ensuring the effectiveness of vaccines will play a key role in promoting public health, including assessing sufficient and durable protection.

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